## **Holding of specified securities**

- 1 Name of Listed Entity: APEX CAPITAL MARKETS LIMITED
- 2 Scrip Code/Name of Scrip/Class of Security: [BSE: 10011122]
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending

30-Sep-24

b. If under 31(1)(c) then indicate date of allotment/extinguishment

NA

4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

<sup>\*</sup> If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:-

## APEX CAPITAL MARKETS LIMITED

## Table I - Summary Statement holding of specified securities

Categ	Category of shareholder (II)	Nos. of	No. of fully	No. of	No. of	Total nos.	Shareholdi	Number of	Voting R	Rights held in e	ach class	No. of	Shareholding, as		ımber of		ımber of	Number of
ory		sharehold	paid up	Partly	shares	shares held	ng as a %	or securities (iv)					a % assuming full	Locke	ed in shares	Shar	es pledged	equity
(I)		ers (III)	equity	paid-up	underlyi	(VII) =	of total no.	·				Underlyin	conversion of		(XII)	or	otherwise	shares held
			shares held	equity	ng	(IV)+(V)+	of shares						convertible			end	cumbered	in
			(IV)	shares	Deposito	(VI)	(calculated	01					securities ( as a percentage of				(XIII)	dematerializ
				held (V)	ry		as per	co					diluted share					ed form
					Receipts		SCRR,	No of Voting Rights Total as a					capital) (XI)=					(XIV)
					(VI)		1957) (VIII)						(VII)+(X) As a % c	No.	As a % of	No.	As a % of	1
							As a % of		Class	Total	% of	(including	, ,	(a)	total	(a)	total	
							(A+B+C2)	ciass cg. 7	eg: Y		(A+B+C)	Warrants)			Shares		Shares	
									"			(X)			held (b)		held (b)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII)			(IX)		(X)			(XII)		(XIII)	(XIV)
						(IV)+(V)+							(XI)= (VII)+(X)					
	_					(VI)												
(A)	Promoter & Promoter Group	10	1749700	0	0	1749700	58.32	1749700	l o	1749700	58.32	0	58.32	و ا	ه ار	0	0	1749700
(B)	Public	921	1250400	0	0	1250400	41.68	1250400	0	1250400	41.68	0	41.68	0	0		NA	54900
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0		NA	0
L									<u> </u>						<u> </u>			Ŭ
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0	0	0.00	0	0		NA	0
(C2)	Shares held by	0	0	0	0	0	0	0	٥ ا	0	0	0	0.00		0		NA	
	EmployeeTrusts	0	· ·				Ů	0	<u>`</u>				0.00	$\perp$	<u> </u>			Ŭ
	Total	931	3000100	0	0	3000100	100.00	3000100	0	3000100	100.00	0	100.00	0	) o		0	1804600

									MARKET	S LIM	ITED								
Tabl	le II - Statement sh		nolding		the Pror	noter an													
Cate	Category of	PAN	Nos. of	No. of fully	No. of	No. of	Total nos.	Shareholdi			g Rights held	l in each	No. of Shares	Shareholding, as a	1	ımber of		mber of	Number o
gory	shareholder			paid up equity shares held	Partly paid-up equity shares held	shares underlyin g Deposito ry	shares held	ng as a % of total no. of shares (calculated as per		class of	f securities		Underlying Outstanding convertible securities (including	% assuming full conversion of convertible securities ( as a percentage of	Locke	ed in shares	ple oth encu	hares dged or nerwise umbered	equity shares held in demateri ized forn
						Receipts	l	SCRR, 1957) (VIII) As a % of (A+B+C2)	Class eg: X	Voting Class eg: Y	Rights Total	Total as a % of (A+B+C)	Warrants)	diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. As a % (a) of total Shares held (b)		
	(1)	(11)	(111)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+	(VIII)			(IX)		(X)	(XI)= (VII)+(X)		(XII)	(	(XIII)	(XIV)
1)	Indian						(//1)												
-	Individuals/Hindu undivided Family		7	706900			706900	23.56	706900		706900	23.56		23.56					70690
1	KAMAL KISHORE LALWANI (HUF)	(	D	228100			228100	7.60	228100		228100	7.60		7.60					22810
2	KAMAL KISHORE LALWANI	(	D	63000			63000	2.10	63000		63000	2.10		2.10					6300
_	SNEH LATA LALWANI		0	196400			196400		196400		196400	6.55		6.55					1964
4	SUNDEEP LALWANI	(	0	43800			43800	1.46	43800		43800	1.46		1.46	<u> </u>				4380
5	SUNIL KUMAR LALWANI	(	0	153800			153800	5.13	153800		153800	5.13		5.13					15380
6	SUNITA LALWANI	(	0	15000			15000	0.50	15000		15000	0.50		0.50					1500
7	SANJAY KUMAR DHANCHOLIA	(	0	6800			6800	0.23	6800		6800	0.23		0.23					680
(b)	Central Government/ State Government(s)																		
	Name (xyz)																		
(c)	Financial Institutions/ Banks																		
(0)	Name (xyz)																		
(d)	Body Corporates		3	1042800			1042800	34.76	1042800		1042800	34.76		34.76					104280
1	LALWANI CAPITAL MARKETS LTD	0		502900			502900	16.76	502900		502900	16.76		16.76					502900
2	LALWANI FERRO ALLOYA LTD	0		199900			199900	6.66	199900		199900	6.66		6.66					199900
3	LALWANI METALLICS PVT LTD	0		340000			340000	11.33	340000		340000	11.33		11.33					340000
	Sub-Total (A)(1)		10	1749700	0	0	1749700	58.32	1749700	0	1749700	58.3214	0	58.32	0	0	0	0	1749700
2)	Foreign						0												
	Individuals (Non- Resident Individuals/ Foreign Individuals)						0												
	Name (xyz)						0												
(b)	Government						0												
	Name (xyz)						0										Ш		
(c)	Institutions		0	0			0	0.00	0		0	0.00		0.00			Ш		
	Name (xyz)						0										Ш		
(d)	Foreign Portfolio Investor						0												
	Name (xyz)						0												

Cate	le II - Statement sh Category of shareholder	PAN			Nos. of shareh	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlyin g Deposito ry Receipts	Total nos. shares held	Shareholdi			g Rights held securities	I in each	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share		umber of ed in shares	ple ot enc	imber of Shares edged or herwise umbered	Number of equity shares held in demateri ized form
						Receipts		1957) (VIII) As a % of (A+B+C2)	Class eg: X	_	Total	a % of (A+B+C)		capital) (XI)= (VII)+(X) As a % of (A+B+C2)	(a)			of total Shares held (b)			
	(1)	(11)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)		(IX)			(X)	(XI)= (VII)+(X)	(XII)		(XIII)		(XIV)		
(e)	Any Other (specify)						0														
	Name (xyz)						0														
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0	0			
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		10	1749700	0	0	1749700	58.32	1749700	0	1749700	58.3214	0	58.32	C	0	0	0	174970		

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

<sup>(2)</sup> The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Seper Series   Securities   S		
Security   Security		
1957   VIVI)   10	Shares pledged or otherwise	Number o equity shares held in dematerial ed form
13   Institutions	No. As a % (a) of total Shares held (b)	(XIV)
(a) Mutual Fundsy	(XIII)	(XIV)
(c) Neutrue Capital Funds Name (yr) (c) Alternate Investment Funds Name (yr) (d) Foreign Venture Capital Investors Name (yr) (e) Foreign Poetrure Capital Investors Name (yr) (f) Foreign Venture Capital Investors Name (yr) (g) Foreign Venture Capital Investors 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	NA	
Name (syz	NA NA	+
C  Alternate Investment Funds	NA NA	+
Name (syz	NA NA	+
(d) Foreign Venture Capital Investors	NA NA	+
Name (tyz)   Name (tyz	NA NA	+
Foreign Portfolio Investors   O   O   O   O   O   O   O   O   O	NA NA	+
Financial Institutions   Banks	NA NA	
Name (xyz)   Name (xyz	NA NA	+
Sub-Total (B)(2)   O   O   O   O   O   O   O   O   O	NA NA	+
(h) Provident Funds/ Pension Funds Name (xyz) (l) Any Other (specify) Name (xyz) Sub-Total (B)(1) Central Government/ State 2) Government/ State 3) Non-institutions Non-institutions I. Individuals hareholders holding nominal share capital up to Rs. 2 lakhs. II. Individual share copital in excess of Rs. 2 lakhs. Name (xyz) II. Individual share capital up to Rs. 2 lakhs. Name (xyz) II. Individual share capital up to Rs. 2 lakhs. Name (xyz) II. Individual share capital up to Rs. 2 lakhs. Name (xyz) II. Individual share capital up to Rs. 2 lakhs. Name (xyz) II. Individual share capital up to Rs. 2 lakhs. Name (xyz) II. Individual share capital up to Rs. 2 lakhs. Name (xyz) II. Individual share capital up to Rs. 2 lakhs. Name (xyz) II. Individual share capital up to Rs. 2 lakhs. Name (xyz) II. Individual share capital up to Rs. 2 lakhs. Name (xyz) II. Individual share capital up to Rs. 2 lakhs. Name (xyz) II. IHEMANT JOSHII II. HEMANT JOSHII II. Alabor Sayoo Jayoo J	NA NA	+
Name (xyz)	NA NA	+
(i) Any Other (specify)  Name (xyz)  Sub-Total (B)(1)  O O O O O O O O O O O O O O O O O O O	NA NA	+
Name (xyz)	NA NA	+
Sub-Total (B)(1)	NA NA	+
Central Government/ State   Government(s)/ President of India	NA NA	+
Sub-Total (B)(2)	NA NA	
Sub-Total (B)(2)	NA	1
Individuals -	NA	
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.       903       327500       327500       10.92       327500       10.92	NA	1
nominal share capital up to Rs. 2   903   327500   327500   10.92   327500   327500   10.92	NA	
nominal share capital in excess of Rs.   7   188700   188700   6.29   188700   6.29	NA	3800
1 HEMANT JOSHII     41800     41800     1.39     41800     1.39     1.39       2 MANOJ BENGANI     32900     32900     1.10     32900     1.10     32900     1.10	NA NA	
2 MANOJ BENGANI 32900 32900 1.10 32900 1.10 1.10 1.10	NA	+
	$\longrightarrow$	+
1//	<del></del>	+
(b) NBFCs registered with RBI	NA NA	+
Name (xyz)	NA NA	+
(c) Employee Trusts         Name (xyz)	NA NA	+

						APEX	CAPITAL	MARKET	S LIMITI	ED.								
Table	III - Statement showing shareholding	pattern of the Pub	lic shareh	older		711 1271	0/11/11/12	1-1711111111111111111111111111111111111	O LII-III	- D								
Cate	Category of shareholder	PAN	Nos. of	No. of fully paid up equity	,	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholdin g as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	No o Class eg: X	sec	ghts held in e curities Rights Total	Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants)	convertible securities ( as a percentage of diluted share	No.	As a % of total Shares held (b)	Number of Shares pledged or otherwise encumbered (XIII)  No. As a % (a) of total Shares held (b)	Number of equity shares held in dematerializ ed form (XIV)
	(1)	(11)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)			(IX)		(X)	(XI)= (VII)+(X)		(XII)	(XIII)	(XIV)
(d)	Overseas Depositories (holding DRs) (balancing figure)																NA	
	Name (xyz)																NA	
(e)	Body Corporates (India) & Trusts		11	734200			734200	24.47	734200		734200	24.47		24.47			NA	16900
1	SPA TRADE & INVESTMENT PVT LTD			375000			375000	12.50	375000		375000	12.50		12.50				
2	YAC TRADING & INVESTMENTS PVT LTD			150000			150000	5.00	150000		150000	5.00		5.00				
3	AMBER CAPITAL MARKETS LTD			70000			70000	2.33	70000		70000	2.33		2.33				
4	POONAM MERCANTILE (P) LTD			50000			50000	1.67	50000		50000	1.67		1.67				
5	MURABROWN TRADING (P) LTD			45000			45000	1.50	45000		45000	1.50		1.50				
	Name (xyz)																NA	
(f)	Directors		0	0			0	0.00	0		0	0.00		0.00			NA	0
	Name (xyz)																NA	
	Sub-Total (B)(3)		921	1250400	0	0	1250400	41.68	1250400	0	1250400	41.68	0	41.68	0	0	NA	54900
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		921	1250400	0	0	1250400	41.68	1250400	0	1250400	41.68	0	41.68	0	0	NA	54900
	Details of the shareholders acting as pe	rsons in Concert in	cluding th	eir Shareholdin	g (No. and %):													

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

## Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table I	IV - Statement showing sh	areholdin	ng pattern o	of the Non	Promoter-	Non Publ	ic sharehold	der										
Categor y	Category of shareholder	PAN (II)	Nos. of shareholder s (III)	paid up equity	No. of Partly paid-up equity shares held (V)	shares underlying	(IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	No o	or of Voting F Class eg: Y	Rights Total		No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No.		Number of Shares pledged of otherwise encumbers (XIII)  No. As a % (a) of total Shares held (the shares are shares and shares are sh	equity shares held in demateriali zed form (XIV)
	(1)	(11)	(111)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)		(	IX)		(X)	(XI)= (VII)+(X)		(XII)	(XIII)	(XIV)
1)	Custodian/DR Holder																NA	
	Name of DR Holder (if available)																NA	
(i)	abc,.,																NA	
(ii)	efg																NA	
	Sub-Total (C)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regula																NA	
(a)	Name ()																NA	
	Sub-Total (C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

<sup>(2)</sup> The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

<sup>(3)</sup> W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,