

**Holding of specified securities**

- 1 Name of Listed Entity: APEX CAPITAL MARKETS LIMITED
- 2 Scrip Code/Name of Scrip/Class of Security : [BSE: 10011122 ]
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment

30-Sep-20

NA

- 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?		NO

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

**APEX CAPITAL MARKETS LIMITED**

**Table I - Summary Statement holding of specified securities**

Category of shareholder (i)	Nos. of shareholders (iii)	No. of fully paid up shares held (iv)	No. of Partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) As a % of (A+B+C2)	Number of Locked in shares (xii)	Number of Shares pledged or otherwise encumbered (xiii)	Number of equity shares held in dematerialized form (xiv)		
							No of Voting Rights								
							Class eg: X	Class eg: Y	Total						
							Total as a % of (A+B+C)								
(i)	(ii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi) = (vii)+(x)	(xii)	(xiii)	(xiv)	
(A)	Promoter & Promoter Group	12	2244700	0	0	2244700	74.82	2244700	0	2244700	74.82	0	0	0	1749700
(B)	Public	922	755400	0	0	755400	25.18	755400	0	755400	25.18	0	0	NA	54900
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0	0	0	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
Total		934	3000100	0	0	3000100	100.00	3000100	0	3000100	100.00	0	0	0	1804600

**Table I - Summary Statement holding of specified securities**

## APEX CAPITAL MARKETS LIMITED

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																	
Category of shareholder	PAN	Nos. of share orders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)-(X) As a % of (A-B-C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form	
								Class eg: X eg: Y	No of Voting Rights				Total as a % of (A+B+C)	No. (a) As a % of total Shares held (b)			No. (a) As a % of total Shares held (b)
									Class Y	Total							
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi) = (vii)+(x)	(xii)		(xiii)	(xiv)	
1) Indian																	
Individuals/Hindu Undivided Family		9	120,900			120,900	40.06	120,900		120,900	40.06		40.06			706,900	
KAMAL KISHORE LALWANI (HUF)	AACHK1714P		23,500			23,500	7.72	23,500		23,500	7.72		7.72			228,100	
KAMAL KISHORE LALWANI	ABBP19761H		14,960			14,960	4.99	14,960		14,960	4.99		4.99			630,000	
6 SNEH LATA LALWANI	AAZP14013D		19,640			19,640	6.55	19,640		19,640	6.55		6.55			196,400	
4 SANDEEP LALWANI	ABPL15671Q		14,960			14,960	4.99	14,960		14,960	4.99		4.99			43,800	
5 SUNIL KUMAR LALWANI	AAWP12780N		15,380			15,380	5.13	15,380		15,380	5.13		5.13			15,380	
6 YASH LALWANI	AEWP15311F		14,960			14,960	4.99	14,960		14,960	4.99		4.99				
7 PRATISH LALWANI	AFHP13965K		14,960			14,960	4.99	14,960		14,960	4.99		4.99				
8 SUNITA LALWANI	AAVP19125D		15,000			15,000	0.50	15,000		15,000	0.50		0.50				
SANJAY KUMAR DHACHOLIA	ACP0D3135J		6,800			6,800	0.23	6,800		6,800	0.23		0.23				
Central Government/ State Government(s)																	
(b) Name (xyz...)																	
Financial Institutions/ Banks																	
(c) Name (xyz...)																	
(d) Body Corporates		3	104,280			104,280	34.76	104,280		104,280	34.76		34.76			104,280	
1 MARKETS LTD	AAAC14740K		502,900			502,900	16.76	502,900		502,900	16.76		16.76			502,900	
LALWANI FERRO ALLOYA LTD	AAAC14482G		19,990			19,990	6.66	19,990		19,990	6.66		6.66			19,990	
LALWANI METALLICS PVT LTD	AAAC14651K		34,000			34,000	11.33	34,000		34,000	11.33		11.33			34,000	
Sub-Total (A)(1)		12	224,700	0	0	224,700	74.82	224,700	0	224,700	74.82	0	74.82	0	0	174,970	
2) Foreign						0											
(a) Resident Individuals/ Foreign Individuals						0											
Name (xyz...)						0											
(b) Government						0											
Name (xyz...)						0											
(c) Institutions		0	0			0	0.00	0		0	0.00		0.00			0	
Name (xyz...)						0											

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)-(X) As a % of (A-B-C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
								No of Voting Rights Class eg: X eg: Y	Total Class	Total as a % of (A+B+C)						
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI) = (VII)+(X)	(XII)		(XIII)	(XIV)
Foreign Portfolio Investor						0										
Name (xyz...)						0										
Any Other (specify)						0										
Name (xyz...)						0										
Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0
Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		12	2244700	0	0	2244700	74.82	2244700	0	2244700	74.8208	0	0	0	0	1749700

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding stakes held in demat/unclaimed suspense account, voting rights which are for/against etc.

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

**APEX CAPITAL MARKETS LIMITED**

**Table III - Statement showing shareholding pattern of the Public shareholder**

Category of shareholder Category	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)-(IX) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerializ ed form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	As a % of total Shares held (b)	As a % of total Shares held (b)	As a % of total Shares held (b)		
								Class eg: X	Class eg: Y	Total								
								(IX)										
1) Institutions	(i)	(ii)	(iii)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI) = (VII)+(X)		(XII)	(XIII)		(XIV)
(a) Mutual Funds/ Venture Capital Funds			0	0			0	0.00	0		0		0.00			NA	NA	0
(b) Venture Capital Funds																NA	NA	
(c) Alternate Investment Funds																NA	NA	
(d) Foreign Venture Capital Investors																NA	NA	
(e) Foreign Portfolio Investors			0	0			0	0.00	0		0		0.00			NA	NA	0
(f) Financial Institutions/ Banks			0	0			0	0.00	0		0		0.00			NA	NA	0
(g) Insurance Companies			0	0			0	0.00	0		0		0.00			NA	NA	0
(h) Provident Funds/ Pension Funds																NA	NA	
(i) Any Other (Specify)																NA	NA	
(j) Sub-Total (B)(1)			0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	NA	NA	0
2) Central Government/ State Government(s)/ President of India																NA		
(a) Name (xyz...)			0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(b) Sub-Total (B)(2)																NA	NA	
(c) Non-Institutions																NA	NA	
(a) Individuals -																NA		
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.			907	658700			658700	21.96	658700		658700		21.96			NA		14200
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.			4	52500			52500	1.75	52500		52500		1.75			NA		23800
(b) Name (xyz...)																NA		
1 MIRAJ GANDHI				45000			45000	1.50	45000		45000		1.50					41800
2 HEMANT JOSHI				41800			41800	1.39	41800		41800		1.39					32900
3 MANOJ BENGANI				32900			32900	1.10	32900		32900		1.10					0
4 PRABHA GANDHI				50000			50000	1.67	50000		50000		1.67					0
5 PARAS GANDHI				50000			50000	1.67	50000		50000		1.67					0
6 SHRADDHA GANDHI				50000			50000	1.67	50000		50000		1.67					0

**Table III - Statement showing shareholding pattern of the Public shareholder**

**APEX CAPITAL MARKETS LIMITED**

Table III - Statement showing shareholding pattern of the Public shareholder																		
Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(VII) = (iv)+(v)+(vi)	(VIII)	(IX)			(X)	(XI) = (VII)+(X)	(XII)		(XIII)		(XIV)	
								No of Voting Rights					No. (a) total Shares held (b)	No. (a) of total Shares held (b)				
								Class eg: X eg: Y	Class Y	Total								
(b) NRI/CS registered with RBI																		
Name (xyz...)																	NA	
(c) Employee Trusts																	NA	
Name (xyz...)																	NA	
(d) Overseas Depositories (holding DRs) (balancing figure)																	NA	
Name (xyz...)																	NA	
(e) Body Corporates (India) & Trusts		11	44200			44200	1.47	44200		44200	1.47	1.47					NA	
Name (xyz...)																	NA	
(f) Directors		0	0			0	0.00	0		0	0.00	0.00					NA	
Name (xyz...)																	NA	
Sub-Total (B)(3)		922	755400	0	0	755400	25.18	755400	0	755400	25.18	25.18	0	0			NA	
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		922	755400	0	0	755400	25.18	755400	0	755400	25.18	25.18	0	0			NA	
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %)																		

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder																
Category	Category of shareholder	PAN (ii)	Nos. of shareholder s (iii)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
Y									No of Voting Rights	Total as a % of (A+B+C)						
							(VII) = (IV)+(V)+ (VI)	(VIII)	Class eg: X	Class eg: Y	Total			As a % of total Shares held (b)	No. (a) of total Shares held (b)	
		(i)	(iii)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)
1)	Custodian/DR Holder														NA	
	Name of DR Holder (if available)														NA	
	(i) abc,...														NA	
	(ii) efg,...														NA	
	Sub-Total (C)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0
2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regula														NA	
	(a) Name (...)														NA	
	Sub-Total (C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Non-Promoter- Non Public Shareholding (C)= (C(1)+(C(2)		0	0	0	0	0	0	0	0	0	0	0	0	NA	0

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.