Holding of specified securities

- 1 Name of Listed Entity: APEX CAPITAL MARKETS LIMITED
- 2 Scrip Code/Name of Scrip/Class of Security: [BSE: 10011122]
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
- a. If under 31(1)(b) then indicate the report for Quarter ending
- If under 31(1)(c) then indicate date of allotment/extinguishment

31-Dec-20

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

5 	4 Wh	3 Wh	2 Wh	1 Wh	Par
5 Whether any shares held by promoters are pledge or otherwise encumbered?	4 Whether the Listed Entity has any shares in locked-in?	3 Whether the Listed Entity has any shares against which depository receipts are issued?	2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	1 Whether the Listed Entity has issued any partly paid up shares?	Particulars
					Yes*
=	Z	z	z	z	2

above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities. shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, * If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible

5 The tabular format for disclosure of holding of specified securities is as follows:-

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1804200	0	٥	٥	100.00	0	100.00	3000100	•	3000100	100.00	3000100	٥	٥	3000100	933	Total	
	N N	0	0	0.00	o	o	0	٥	0	0			0	0	0	Shares held by EmployeeTrusts	(2)
	NA	0	o	0.00	0	0	0	0	0	NA	0	0	0	. 0	0	Shares underlying DRs	(C1)
	NA	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0	Non Promoter- Non Public	(3)
54500	NA	0	٥	41.68	0	41.68	1250400	0	1250400	41.68	1250400	0	0	1250400	923	Public	(B)
1749700	0 0	0	0	58.32	0	58.32	1749700	0	1749700	58.32	1749700	0		1749700	10	Promoter & Promoter Group	(A)
(VIX)	(XIII)		(XII)	(X)=(VII)+(X)	(X)		(IX)			(IIIV)	(VI) = (IV)+(V))	(<u>S</u>	3	(X)	(III)	(H)	Э
	b. As a % of) total Shares held (b)	As a % of No. total (a) Shares held (b)		Total as a securities (VII)+(X) As a % of No. % of (including (A+B+C2) (a) (A+B+C) (X)	securities (including Warrants) (X)	Total as a % of (A+B+C)	Total	No of Voting Rights ;: X Class Total eg: Y	No o	As a % of (A+B+C2)		3					
equity shares held in dematerializ ed form (XIV)	<u> </u>	shares Sh	ocked in s (XII)	a % assuming full Locked in shares Shares pledged conversion of (XII) or otherwise encumbered securities (as a percentage of diluted share capital) (XI)=	Shares Underlyin g Outstandi ng convertibi		of securities (IX)	of secu.		ng as a % of total no. of shares (calculated as per SCRR,		v 0 ~·			<u> </u>		99,
Number of	Number of	-	Number of	Shareholding, as	No. of	d in each class	zhts held in e	Voting Ris	Number of Voting Rights hel	Shareholdi	Total nos	No of		No. of fully	Nos of	Category of shareholder (III)	Categ
													Curities	specified s	holding of	Table I - Summary Statement holding of specified securities	Table
							ITED	TS LIM	APEX CAPITAL MARKETS LIMITED	X CAPITA	APE:						
							1777	Š		Tree .							



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	(e)		<u>a</u>		Ī		(b)		(a)	2)					<u>a</u>		Ĉ		<u></u>				_	p.a.	(a)	۳				Bory
Name (xyz)		Name (xyz)	(d) Investor	Foreign Portfolio	Institutions	Name (xyz)	Government	Name (xyz)	(a) Resident Individuals/ Foreign Individuals)	Foreign Individuals (Non-	Sub-Total (A)(1)	PVT LTD	LALWANI FERRO ALLOYA LTD	MARKETS LTD		Name (xyz)	Financial Institutions/ Banks	Name (xyz)		SUNIL KUMAR	SUNDEEP LALWANI	INAMIAI ATAI HANS	KAMAL KISHORE LALWANI	KAMAL KISHORE LALWANI (HUF)	Individuals/Hindu undivided Family	Indian	(3)			Statellologi
												0	0	0						0	0	0	0	0			(3)			
										T	16				w										7		(111)		olders	charch
					0						1749700	340000	199900	502900	1042800					153800	43800	196400	63000	228100	706900		(IV)		shares held	
											. 0																3		equity shares	
											0													•			<u>(X</u>	Receipts	8 Deposito	underkin
0	0		0		0	0	0	0	0		1749700	340000	199900	502900	1042800					153800	43800	196400	63000	228100	706900		(IV)+(V)+ = (INV)		-	STOLES LICIT
					0.00						58.32	11.33	6.66	16.76	34.76					5.13	1.46	6.55	2.10	7.60	23.56		(Jiil)	SCRR, 1957) (VIII) As a % of (A+B+C2)	of shares (calculated as per	of total no.
											1749700	340000	199900	502900	1042800					153800	43800	196400	63000	228100	706900			No of Votin Class eg: X Class eg: Y		
											٥																	[99]		
					c	,					1749700	340000	199900	502900	1042800					153800	43800	196400	63000	228100	706900		(EX	Rights		
					0.00	Т					58.3214	11.33	6.66	16.76	34.76					5.13	1.46	6.55	2.10	7.60	23.56			Total as a % of (A+B+C)		
						 					0																(X)	Warrants)	convertible securities (including	Outstanding
					0.00						58.32	11.33	6.66	16.76	34.76					5.13	1.46	6.55	2.10	7.60	23.56		(x)+(IIV) =(IX)	diluted share capital) (X)= (VII)+(X) As a % of (A+B+C2).	convertible securities (as a percentage of	conversion of
			ļ —	\dagger	Ť						0				<u> </u>													No. (a)		
											0																(IIX)	As a % of total Shares held (b)		
		-		+	+	1	F			1	0				F												(XIII)	No. As a % (a) of total Shares held (b)	otherwise encumbered	pledged or
	-	+				-	+			+	1749700	340000	199900	502900	1042800			-		153800	43800	196400	63000	228100	706900		(VIX)		., <u>p</u> .	shares



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Total Si		······································		gory sh	Cate Ca	Table II - S
Total Shareholding of Promoter and	8			shareholder	Category of	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	(11)				PAN	wing shareh
10	(III)		shareh olders	of.	Nos.	olding
1749700	(X		S_	paid up	No. of fully	pattern of
<u> </u>	3	held	paid-up equity	Partly	No. of	the Prom
•	3	Depasito ry Receipts	underfyin B	shares	No. of	oter an
1749700	(IV)+(V)+ = (IN)			shares shares held ng as a %	No. of Total nos. Shareholdi	d Promote
58.32	(VIII)	(calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	of total no. of shares	ng as a %	Shareholdi	r Group
1749700		(calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) Class eg: X Class (B: Y			Number o	
				class of	if Voting	
1749700 58.3214	(X)	Rights Total		class of securities	Number of Voting Rights held in each	
58.3214		Total as a % of (A+B+C)			in each	
0	(X)	securities (including Warrants)	Outstanding convertible	Underlying	No. of Shares	
58.32	(X)+(IIA) =(IX)	securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	conversion of convertible	% assuming full Locked in shares	of Shares Shareholding, as a	
		No.		Locked		
•	(XII)	As a % of No. As a % total (a) of total Shares Shares held (b) held (t		in shares	Number of	
0		(a) No.	oth oth		Z L	
0	(IIIX)	= 4	pledged or otherwise	Shares	Number of	
1749700	(XIV)	dematerial ized form	shares held in	equity	Number of	

Note: (1) PAN would not be displayed on website of Stock Exchange(s). Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



APEX CAPITAL MARKETS LIMITED

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Name (xyz)	Employee Trusts	Name (xyz)	NBFCs registered with RBI	MANOJ BENGANI	HEMANT JOSHII	Name (xyz)	2 lakhs.	nominal share capital in excess of Rs.	ii. Individual shareholders holding	lakhs.	nominal share capital up to Rs. 2	i. Individual shareholders holding	Individuals -	Non-institutions	Sub-Total (B)(2)	Name (xyz)	Government(s)/ President of India	Central Government/ State	Sub-Total (B)(1)	Name (xyz)	Any Other (specify)	Name (xyz)	Provident Funds/ Pension Funds	Insurance Companies	Name (xyz)	Financial Institutions/ Banks	Foreign Portfolio Investors	Name (xyz)		Name (xyz)	_	Name (xyz)	(b) Venture Capital Funds	(a) Mutual Funds/	Institutions	(0)									Category of shareholder	Table III - Statement showing shareholding pattern of the Public shareholder
																		, .																		(1)									PAN	pattern of the Publ
	_							7			909				0											٥								0		(III)							ders	sharehol	Nos. of	ic shareho
				32900	41800			342500			173700				0				0					٥		c	0							0		(IV)							shares held	sharehol paid up equity	No. of fully	ider
															0				0																	(V)		_				heid	equity shares	paid-up	No. of Partly	Ì
															0				0							•										(VI)						Receipts	Depository	underlying	No. of shares	
				32900	41800			342500			173700				0				0				,	0		٥	0							0		(VII) = (IV)+(V)+ (VI)	٠.			•		·			Total nos.	
				1.10	1.39			11.42			5.79				0				0.00					0.00		0.00	0.00							0.00		(VIII)			As a % of	1957) (VIII)	ac per SCRR	shares	total no. of	gasa%of	Shareholdin	
				32900	41800			342500			173700				0				0					0	<u> </u>	٥	0							0				•	Class eg: X Class Total	No.					Number of Voting Rights held in each class of	Ì
															0				٥								_	L	_			_				e		eg: Y	Class Ti	Votine Rie				securities	oting Righ	1
				32900	41800			342500			173700				0									ļ		٥) -			_				٥		(IX)								rities	its held in ear	
		200		1.10	1.39			11.42			5.79				0				0.00					0.00		0.00	0.00							0.00					of (A+8+C)	N c sc leto					th class of	!
	プラン	7										ļ			0				0																	(X)			Warrants)	(including	Securities	Outstanding	Underlying	Shares	No. of	Ì
				1.10	1.39			11.42		_	5.79								0.00					0.00		0.00	0.00							0.00		(x)= (vii)+(x)	of (A+B+C2)	(VII)+(X) As a %	capital) (XI)=	diluted share	nercentage of	convertible	conversion of	a % assuming full	Shareholding, as	ì
			_									Þ			0 0				٥					Ĺ	ļ	Ī	Ť	ļ	F		L	L				×	Ţ		© 8	_			shares (XII)		s Number of	1
								_			7				0				<u>.</u>						_	_	_				_					(XII)	held (b)	ŭ	total (a				(IIX)	<u>ج</u> 2	erof	(
NA	NA	NA	NA			AN		NA A			N A		AN	NA	NA	NA	NA		NA	NA	NA	NA	NA	NA	NA	NA	8	NA	NA	ž	NA A	NA	NA	NA	NA	(XIII)	held (b)		(a) of total	- 1	(XIII) פוול מווים כו כם	otherwise	pledged or	Shares	Number of	
								15380			-11620				_									_										۰		(XIX)			4	(XX)	ed form	in	shares held	equity	Number of	



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													(No. and %):	eir Shareholding	cluding the	sons in Concert in	Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):	ō
¥	Z	9		41.58	ے	41.68	1250400	ء	1250400	41.68	1250400	_		1250400	923		(B)(1)+(B)(2)+(B)(3)	<u> </u>
	•				,					:							Total Public Shareholding (B)=	17
545C	NA	0	0	41.68	0	41.68	1250400	0	1250400	41.68	1250400	0	0	1250400	923		Sub-Total (B)(3)	Si
	NA							_									Name (xyz)	z
	NA			0.00		0.00	0		0	0.00	0			0	0		Directors	3
	NA							Ц									Name (xyz)	Z
				1.50		1.50	45000	·	45000	· 1.50	45000			45000			5 MURABROWN TRADING (P) LTD	5
				1.67		1.67	50000		50000	1.67	50000			50000			4 POONAM MERCANTILE (P) LTD	4 PC
				2.33		2.33	70000		70000	2.33	70000			70000			3 AMBER CAPITAL MARKETS LTD	.α
				5.00		5.00	150000		150000	5.00	150000			150000			YAC TRADING & INVESTMENTS PVT	2 YAC
				12.50		12.50	375000		375000	12.50	375000			375000			SPA TRADE & INVESTMENT PVT LTO	1 SF
16900	NA			24.47		24.47	734200		734200	24.47	734200			734200	11		Body Corporates (India) & Trusts	(e) Bo
	NA																Name (xyz)	Z
	NA.																(balancing figure)	(a)
								_									Overseas Depositories (holding DRs)	
(XX)	(XIII)	. 🕱		(X)+(11V) =(IX)	8		8	÷		(VIII)	(V)+(V)) = (IV)	3	3	<u> </u>	3	3	:	
	held (b)	held (b)		of (A+B+C2)				eg:	<u> </u>	(4+6+(2)								
	(a) of total		a	capital) (XI)=	Warrants)	of (A+B+C)	Total		Class eg: X C									
(XX)	No. Asa%	As a % of No.	No.	diluted share	(including	Total as a %		No of Voting Rights	No of 1	1957) (VIII)								
ed form	(XIII)			percentage of	securities					as per SCRR,								
dematerializ	C.		_	securities (as a	convertible					(calculated							•	
5	otherwise			convertible	Outstanding					shares		Receipts	held					_
shares held	pledged or	shares (XII)		conversion of	Ø.					total no. of		Depository	equity shares		ders			
equity	Shares	Locked in		a % assuming full	Shares	n class of	Number of voting rights need in each class of securities	noes Sun Suno	Number of V	g as a % of	shares held	underlying	paid-up	sharehol paid up equity	sharehol	PAN	Category of snareholder	Alog Care
	Number		1	Sharahaldian a	╛	1 3 2 5 F	to hald in and	*: Diag	Number of W	Sharahaldia		No of shares	_	26 ft. III.	vic snaren	PART OF THE PUB	lable III - Statement showing snareholding partern of the Public shareholder	l able
														11-1	-	- A A A A A A A A A A A A A A A A A A A	Charles and the control of the contr	

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

(1) PAN would not be displayed on website of Stock Exchange(s).

Note:

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,





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		(3)					(6)				Categor Y
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	Sub-Total (C)(2)	(a) Name ()	SEBI (Share based Employee Benefit) Regula	Sub-Total (C)(1) Employee Benefit Trust (under	(ii) efg	(i) abc,,,	(a) available)	Name of DR Holder (if	Custodian/DR Holder	(0)	Category of shareholder PAN (II) Nos. of Nos. of fully Nos. of Partity Nos. of Partity PAN (II) Nos. of Nos. of Farty Pan (II) Nos. of Farty Nos. of Partity PAN (II) Nos. of Farty Pan (III) Nos. of Party Pan (III) Nos. of Farty Pan (III) Nos. of Farty Pan (III) Nos. of Party Pan (III) Nos. of Party Pan (III) Nos. of Farty Pan (III) Nos. of
										(11)	PAN (II)
0	. 0			0						(III)	Nos. of shareholder s (III)
0	0			0						(M)	No. of fully paid up equity shares held (IV)
0	0			0						8	No. of fully No. of Partty paid up paid-up equity equity shares held shares held (IV) (V)
0) 0			0						(V)	shares underlying Depositor y Receipts (VI)
0	0			0						(VI) + (VI) + (VI) +	Total nos. shares held (VII) = (IV)+(V)+ (VI)
	0			0						(IIIV)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)
<u> </u>	_			Ť							e O
0 0	0. 0			0	-		_				Number of Voting Rights held in each class of securities (IX) No of Voting Rights No of Voting Rights a % of lass Total as a % of eg: Y GA+B+C
0	0			0						(X	ng Righ securit
0	0										ts held in les (IX) Total as a % of (A+B+C)
0	0			0			ļ i			(χ	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)
										(X)+(IIA) =(IX)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+CZ)
0 (0 (-							<u> </u>
0	0 0			0						(XII)	Number of Locked in shares (XII) D. As a % of total Shares held (b)
NA	NA	NA	NA	NA	NA	NA	NA		NA	(IIIX)	Number of Shares pledged or otherwise encumbered (XIII) No. As a % (a) of total Shares held (b)
0	0			0						(XIX)	Number of equity shares held in demateriali zed form (XIV)

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,

1 OF 1