

Holding of specified securities

- 1 Name of Listed Entity: APEX CAPITAL MARKETS LIMITED
- 2 Scrip Code/Name of Scrip/Class of Security : [BSE: 10011122]
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

31-Dec-20

NA

- 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars.	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?		NO

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-



APEX CAPITAL MARKETS LIMITED

Table I - Summary Statement holding of specified securities

Category	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
(I)								No of Voting Rights Class eg: X Class eg: Y	Total as a % of (A+B+C)				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
(A)	Promoter & Promoter Group	10	1749700	0	0	1749700	58.32	1749700	0	1749700	58.32	0	58.32	0	0	0	0	1749700
(B)	Public	923	1250400	0	0	1250400	41.68	1250400	0	1250400	41.68	0	41.68	0	0	NA	0	54500
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	NA	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0	0	0.00	0	0	NA	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	NA	0	0
	Total	933	3000100	0	0	3000100	100.00	3000100	0	3000100	100.00	0	100.00	0	0	0	0	1804200



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category of shareholder	PAN	Nos. of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (vii) = (iv)+(v)+(vi)+(vii)+ (viii)	Shareholding, as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)-(x) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
									No of Voting Rights Class eg: X Class Y					Total as a % of (A+B+C)	No. (a) As a % of total Shares held (b)	No. (a) As a % of total Shares held (b)		
									Class eg: X	Class Y	Total							
1)	Indian								(ix)			(x)	(xi) = (vii)+(x)	(xii)	(xiii)	(xiv)		
(a)	Individuals/Hindu undivided Family		7	706900			706900	23.56		706900		23.56					706900	
1	KAMAL KISHORE LALWANI (HUF)		0	228100			228100	7.60		228100		7.60					228100	
	KAMAL KISHORE LALWANI		0	63000			63000	2.10		63000		2.10					63000	
	SNEH LATTA LALWANI		0	196400			196400	6.55		196400		6.55					196400	
	SUNDEEP LALWANI		0	43800			43800	1.46		43800		1.46					43800	
	SUNIT KUMAR LALWANI		0	153800			153800	5.13		153800		5.13					153800	
(b)	Central Government/ State Government(s)																	
	Name (xyz...)																	
(c)	Financial Institutions/ Banks																	
	Name (xyz...)																	
(d)	Body Corporates		3	1042800			1042800	34.76		1042800		34.76					1042800	
	LALWANI CAPITAL MARKETS LTD		0	502900			502900	16.76		502900		16.76					502900	
	LALWANI FERRO ALLOYA LTD		0	199900			199900	6.66		199900		6.66					199900	
	LALWANI METALLICS PVT LTD		0	340000			340000	11.33		340000		11.33					340000	
	Sub-Total (A)(1)		10	1749700	0	0	1749700	58.32		1749700	0	58.32	0	0	0	0	1749700	
2)	Foreign																	
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)							0										
	Name (xyz...)							0										
(b)	Government							0										
	Name (xyz...)							0										
(c)	Institutions		0	0			0	0.00		0		0.00					0	
	Name (xyz...)							0										
(d)	Foreign Portfolio Investor																	
	Name (xyz...)							0										
(e)	Any Other (specify)							0										
	Name (xyz...)							0										
	Sub-Total (A)(2)		0	0	0	0	0	0.00		0	0	0.00	0	0	0	0	0	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category of shareholder	PAN	Nos. of sharehold	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi) = (vii)+(x)	(xii)		(xiii)		(xiv)
								Class eg: X eg: Y	Total	Total as a % of (A+B+C)			(a) total Shares held (b)	(a) of total Shares held (b)			
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		10	1749700	0	0	1749700	58.32	1749700	0	1749700	58.3214	0	0	0	0	0	1749700

Details of Shares which remain unclaimed may be given hear along with details such as number of Shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII) As a % of total Shares held (b)	Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total	of (A+B+C)			
									Class eg: X eg: Y	Class	Total							
1)	Institutions	(ii)	(iii)	(iv)	(v)	(vi)	(VII) = (iv)+(v)+(vi)	(VIII)	(X)			(X)	(XI) = (VII)+(X)	(XII)		(XIII)	(XIV)	
(a)	Mutual Funds/ Venture Capital Funds		0	0			0	0.00	0		0	0.00	0.00				NA	0
(b)	Venture Capital Funds																NA	
(c)	Alternate Investment Funds																NA	
(d)	Foreign Venture Capital Investors																NA	
(e)	Foreign Portfolio Investors		0	0			0	0.00	0		0	0.00	0.00				NA	0
(f)	Financial Institutions/ Banks		0	0			0	0.00	0		0	0.00	0.00				NA	0
(g)	Name (xyz...)																NA	
(g)	Insurance Companies		0	0			0	0.00	0		0	0.00	0.00				NA	0
(h)	Provident Funds/ Pension Funds																NA	
(i)	Name (xyz...)																NA	
(i)	Any Other (specify)																NA	
	Name (xyz...)																NA	
	Sub-Total (B)(1)		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	NA	0
2)	Central Government/ State Government(s)/ President of India																NA	
	Name (xyz...)																NA	
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
3)	Non-institutions																NA	
(a)	Individuals -																NA	
i.	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		905	173700			173700	5.79	173700		173700	5.79	5.79				NA	-11620
ii.	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		7	342500			342500	11.42	342500		342500	11.42	11.42				NA	15380
	Name (xyz...)																NA	
1	HEMANT JOSHI			41800			41800	1.39	41800		41800	1.39	1.39				NA	
2	MANOJ BENGANI			32900			32900	1.10	32900		32900	1.10	1.10				NA	
(b)	NBFCs registered with RBI																NA	
	Name (xyz...)																NA	
(c)	Employee Trusts																NA	
	Name (xyz...)																NA	

Table III - Statement showing shareholding pattern of the Public shareholder

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Table III - Statement showing shareholding pattern of the Public shareholder																
Category of shareholder	PAN	Nos. of shares held	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi) = (viii)+(x)	(xii)		(xiii)	(xiv)
(d) Overseas Depositories (holding DRs)	(balancing figure)															
Name (xyz...)																
(e) Body Corporates (India) & Trusts		11	734200			734200	24.47	734200		734200	24.47		24.47		NA	16904
1 SPA TRADE & INVESTMENT PVT LTD			375000			375000	12.50	375000		375000	12.50		12.50			
2 VAC TRADING & INVESTMENTS PVT LTD			150000			150000	5.00	150000		150000	5.00		5.00			
3 AMBER CAPITAL MARKETS LTD			70000			70000	2.33	70000		70000	2.33		2.33			
4 POONAM MERCANTILE (P) LTD			50000			50000	1.67	50000		50000	1.67		1.67			
5 MUBARBROWN TRADING (P) LTD			45000			45000	1.50	45000		45000	1.50		1.50			
Name (xyz...)																
(f) Directors		0	0			0	0.00	0		0	0.00		0.00		NA	
Name (xyz...)															NA	
Sub-Total (B)(3)		923	1250400			1250400	41.68	1250400	0	1250400	41.68		41.68	0	NA	545C
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		923	1250400			1250400	41.68	1250400	0	1250400	41.68		41.68	0	NA	545C
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

- Note:
- (1) PAN would not be displayed on website of Stock Exchange(s).
 - (2) The above format needs to be disclosed along with the name of following persons: institutions/Non institutions holding more than 1% of total number of shares.
 - (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder																	
Category Y	Category of shareholder	PAN (ii)	Nos. of shareholder s (iii)	No. of fully paid up equity shares held (iv)	No. of Partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+ (vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) As a % of (A+B+C2)	Number of Locked in shares (xii) As a % of total Shares held (b)		Number of Shares pledged or otherwise encumbered (xiii) As a % of total Shares held (b)	Number of equity shares held in dematerialized form (xiv)
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+ (vi)	(viii)	(ix)			(x)	(xi) = (vii)+(x)	(xii)	(xiii)	(xiv)	
1)	Custodian/DR Holder																
(a)	Name of DR Holder (if available)																
(i)	abc,...																
(ii)	efg...																
	Sub-Total (C)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regula																
2)	Benefit) Regula																
(a)	Name (....)																
	Sub-Total (C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

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