

Holding of specified securities

- 1 Name of Listed Entity: APEX CAPITAL MARKETS LIMITED
- 2 Scrip Code/Name of Scrip/Class of Security : **[BSE: 10011122]**
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

31-Mar-20

NA

- 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes *	No *
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?		NO

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

APEX CAPITAL MARKETS LIMITED

Table I - Summary Statement holding of specified securities

Category of shareholder (I)	Nos. of shareholders (II)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (VIII) (calculated as per SCRR, 1957) (VII)/(A+B+CZ)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+CZ)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)	
							No of Voting Rights					As a % of total Shares held (b)	As a % of total Shares held (b)		
							Class eg: X	Class eg: Y	Total						
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI) = (VII)+(X)	(XII)	(XIII)	(XIV)
(A)	Promoter & Promoter Group	12	2244700	0	0	2244700	74.82	2244700	0	2244700	74.82	0	0	0	1749700
(B)	Public	922	755400	0	0	755400	25.18	755400	0	755400	25.18	0	0	NA	54900
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0.00	0	0	NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	0	NA	0
(C2)	Shares held by EmployeeTrusts	0	0	0	0	0	0	0	0	0	0.00	0	0	NA	0
Total		934	3000100	0	0	3000100	100.00	3000100	0	3000100	100.00	0	0	0	1804600

Table I - Summary Statement holding of specified securities

APEX CAPITAL MARKETS LIMITED

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category of shareholder	PAN	Nos. of shares held	No. of fully paid up equity shares held	No. of equity shares held	No. of Depository Receipts	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)+(VII)+(VIII)+(IX)+(X)+(XI)+(XII)+(XIII)+(XIV)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
									Class eg: X	Class eg: Y	Total			No. (a) As a % of total Shares held (b)	No. (a) As a % of total Shares held (b)			
																No of Voting Rights		
1)	Indian								(IX)			(X)	(XI)= (VII)+(X)	(XII)	(XIII)	(XIV)		
(a) undivided Family		9	1201900				1201900	40.06	1201900		1201900	40.06	40.06				706900	
1 KAMAL KISHORE LALWANI (HUF)	AACHK1714P		231500				231500	7.72	231500		231500	7.72	7.72				228100	
2 KAMAL KISHORE LALWANI	ABBP19761H		149600				149600	4.99	149600		149600	4.99	4.99				63000	
6 SNEH LATA LALWANI	AAZPL4013D		196400				196400	6.55	196400		196400	6.55	6.55				196400	
4 SANDEEP LALWANI	ABLP15671Q		149600				149600	4.99	149600		149600	4.99	4.99				43800	
5 SUNIL KUMAR LALWANI	AAWPL2780N		153800				153800	5.13	153800		153800	5.13	5.13				153800	
6 YASH LALWANI	AEWPL5311F		149600				149600	4.99	149600		149600	4.99	4.99					
7 PRRITISH LALWANI	AFHP13965K		149600				149600	4.99	149600		149600	4.99	4.99					
8 SUNITA LALWANI	AAVPL9125D		15000				15000	0.50	15000		15000	0.50	0.50					
9 SANJAY KUMAR DHACHOLIA	ACPPD3135J		6800				6800	0.23	6800		6800	0.23	0.23					
Central Government/ State Government(s)																		
(b) Name (xyz...)																		
Financial Institutions/ Banks																		
(c) Name (xyz...)																		
(d) Body Corporates		3	1042800				1042800	34.76	1042800		1042800	34.76	34.76				1042800	
1 LALWANI CAPITAL MARKETS LTD	AAACL4740K		502900				502900	16.76	502900		502900	16.76	16.76				502900	
2 LALWANI FERRO ALLOYA LTD	AAACL4482G		199900				199900	6.66	199900		199900	6.66	6.66				199900	
3 LALWANI METALLICS PVT LTD	AAACL4651K		340000				340000	11.33	340000		340000	11.33	11.33				340000	
2) Sub-Total (A)(1)		12	2244700	0	0	0	2244700	74.82	2244700	0	2244700	74.8208	74.82	0	0	0	1749700	
Foreign																		
(a) Individuals (Non Resident Individuals/ Foreign Individuals)																		
Name (xyz...)																		
(b) Government																		
Name (xyz...)																		
(c) Institutions		0	0				0	0.00	0		0	0.00	0.00					
Name (xyz...)																		

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (iv)+(v)+(vi)+(vii)+(viii)+(ix)+(x)+(xi)+(xii)+(xiii)+(xiv)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
									Class eg: X eg: Y	No of Voting Rights Total	Total as a % of (A+B+C)			(a) As a % of Total Shares held (b)	(a) As a % of Total Shares held (b)		
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi) = (vii)+(x)	(xii)		(xiii)	(xiv)
	Foreign Portfolio Investor						0										
	Name (xyz...)						0										
	Any Other (specify)						0										
	Name (xyz...)						0										
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		12	2244700	0	0	2244700	74.82	2244700	0	2244700	74.8208	0	0	0	0	1749700

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Table III - Statement showing shareholding pattern of the Public shareholder

Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (viii)+(ix) As a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii) (xii)	Number of equity shares held in dematerialized form (xiv)
								No of Voting Rights					Total as a % of (A+B+C)			
								Class eg: X	Class eg: Y	Total						
								(ix)								
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi) = (viii)+(x)	(xii)		(xiii)	(xiv)
1) Institutions																
(a) Mutual Funds/			0	0		0	0.00	0		0	0.00	0.00			NA	0
(b) Venture Capital Funds														NA		
Name (xyz...)														NA		
(c) Alternate Investment Funds														NA		
Name (xyz...)														NA		
(d) Foreign Venture Capital Investors														NA		
Name (xyz...)														NA		
(e) Foreign Portfolio Investors			0	0		0	0.00	0		0	0.00	0.00		NA		0
(f) Financial Institutions/ Banks			0	0		0	0.00	0		0	0.00	0.00		NA		0
Name (xyz...)														NA		
(g) Insurance Companies			0	0		0	0.00	0		0	0.00	0.00		NA		0
(h) Provident Funds/ Pension Funds														NA		
Name (xyz...)														NA		
(i) Any Other (specify)														NA		
Name (xyz...)														NA		
Sub-Total (B)(1)		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	NA	0
Central Government/ State Government(s)/ President of India														NA		
Name (xyz...)														NA		
Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	NA		0
3) Non-institutions														NA		
(a) Individuals -														NA		
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		907	658700			658700	21.96	658700		658700	21.96	21.96		NA		14200
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		4	52500			52500	1.75	52500		52500	1.75	1.75		NA		23800
Name (xyz...)														NA		
1) NIRAJ GANDHI			45000			45000	1.50	45000		45000	1.50	1.50				
2) HEMANT JOSHI			41800			41800	1.39	41800		41800	1.39	1.39				41800
3) MANOJ BENGANI			32900			32900	1.10	32900		32900	1.10	1.10				32900
4) PRABHA GANDHI			50000			50000	1.67	50000		50000	1.67	1.67				0
5) PARAS GANDHI			50000			50000	1.67	50000		50000	1.67	1.67				0
6) SHRADDHA GANDHI			50000			50000	1.67	50000		50000	1.67	1.67				0

Table III - Statement showing shareholding pattern of the Public shareholder

APEX CAPITAL MARKETS LIMITED

Category	Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	(VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) as a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
										No of Voting Rights Class eg. X Class eg. Y	Total	Total as a % of (A+B+C)			No (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi) = (vii)+(x)	(xii)	(xiii)	(xiv)					
(b)	NBFCs registered with RBI																		
	Name (xyz...)																		
(c)	Employee Trusts																		
	Name (xyz...)																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
	Name (xyz...)																		
(e)	Body Corporates (India) & Trusts		11	44200			44200		1.47	44200		1.47		1.47				NA	16900
	Name (xyz...)																		
	Name (xyz...)																		
(f)	Directors		0	0			0		0.00	0		0.00		0.00				NA	0
	Name (xyz...)																		
	Sub-Total (B)(3)		922	755400		0	755400		25.18	755400		25.18	0	25.18	0	0		NA	54900
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		922	755400		0	755400		25.18	755400		25.18	0	25.18	0	0		NA	54900
Details of the Shareholders acting as persons in Concert including their Shareholding (No. and %):																			

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder																		
Category	Category of shareholder	PAN (ii)	Nos. of shareholder s (iii)	No. of fully paid up equity shares held (iv)	No. of Partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+ (vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)= (vii)+(x) As a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)	Number of equity shares held in dematerialized form (xiv)	
y									No of Voting Rights					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi)=(vii)+(x)	(xii)	(xiii)			
1)	Custodian/DR Holder																	
	Name of DR Holder (if available)																	
	(i) abc,...															NA		
	(ii) efg...															NA		
	Sub-Total (C)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regula															NA		
	Name (...)															NA		
	Sub-Total (C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.