

Name of the Company: Apex Capital Markets Ltd.**Quarter ending on: 30th June, 2011**

Particulars	Clauses of Listing Agreement	Compliance status (Yes/No)	Remarks
I Board of Directors	49 I		
(A) Composition of Board	49 (IA)	Yes	
(B) Non-executive Directors compensation & disclosures	49 (IB)	Yes	Disclosed under notes to Accounts
(C) Other provisions as to Board and Committee	49 (IC)	Yes	
(D) Code of Conduct	49 (ID)	Yes	
II Audit Committee	49 II		
(A) Qualified & Independent Audit Committee	49 (IIA)	Yes	
(B) Meeting of Audit Committee	49 (IIB)	Yes	
(C) Powers of Audit Committee	49 (IIC)	Yes	
(D) Role of Audit Committee	49 (IID)	Yes	
(E) Review of Information by Audit Committee	49 (IIE)	Yes	
III Subsidiary Companies	49 III	N.A.	
IV Disclosures	49 IV		
(A) Basis of related party transactions	49 (IVA)	Yes	
(B) Disclosure of Accounting Treatment	49 (IVB)	Yes	
(C) Board disclosures	49 (IVC)	Yes	
(D) Proceeds from public issues, rights issues, preferential issues, etc.	49 (IVD)	N.A.	
(E) Remuneration of Directors	49 (IVE)	Yes	Disclosed under notes to Accounts
(F) Management	49 (IVF)	Yes	
(G) Shareholders	49 (IVG)	Yes	
V CEO/ CFO Certification	49 V	Yes	
VI Report on Corporate Governance	49 VI	Yes	
VII Compliance	49 VII	Yes	